



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

In re the Application of:

Hiroshi SHIMANUKI et al.

Application No.: 09/764,391

Filed: January 19, 2001

Attorney Dkt. No.: 106145-00016

For: HUMIDIFIER

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN - BOX MISSING PARTS**

April 4, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated March 5, 2001

(copy attached), the following are enclosed:

- ☒ \$130.00 as payment of the surcharge for the Notice to File Missing Parts;
- ☒ \$710.00 as payment for the [small entity] filing fee;
- ☐ \$\_\_\_\_\_ as payment for a \_\_\_\_\_ month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application [with a Preliminary Amendment]; and
- ☐ Other.

Applicants(s) are also enclosing the following:

☐ A Information Disclosure Statement with Form PTO-1449, \_\_\_\_\_ refs. [and a search report];

☒ A Submission of Priority Application No. 2000-010972 filed January 19, 2000 along with 1 certified priority document;

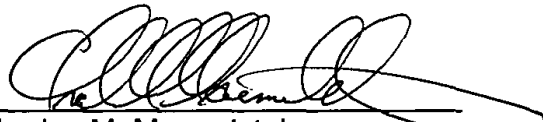
☒ Originally executed Assignment with Form PTO-1595; and

☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,



Charles M. Marmelstein  
Registration No. 25,895

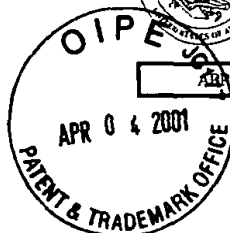
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## UNITED STATES PATENT AND TRADEMARK OFFICE

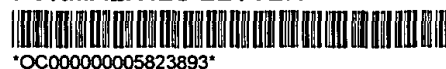
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/764,391	01/19/2001	Hiroshi Shimanuki	106145-00016

CONFIRMATION NO. 7551

## FORMALITIES LETTER



ARENT FOX KINTNER PLOTKIN & KAHN, PLLC  
Suite 600  
1050 Connecticut Avenue, N.W.  
Washington, DC 20036-5339

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/05/2001 EERUBAY1 00000090 09764391

01 FC:101	710.00 DP
02 FC:104	270.00 DP
03 FC:105	130.00 DP